



Image 1763

PATENT  
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 1763
Maximilian A. Biberger et al.	)	Examiner: Ram N. Kackar
Serial No.: 09/704,641	)	<b><u>TRANSMITTAL LETTER</u></b>
Filed: November 1, 2000	)	162 N. Wolfe Road
For: <b>METHOD AND APPARATUS FOR</b>	)	Sunnyvale, CA 94086
<b>SUPERCritical PROCESSING</b>	)	(408) 530-9700
<b>OF A WORKPIECE</b>	)	Customer No.: 28960

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 CFR § 1.97(e) for Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12-10-03

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 1 -

HAVERSTOCK & OWENS LLP  
Date: 12-11-03 By: [Signature]



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Maximilian A. Biberger et al.	)	Examiner: Ram N. Kackar
Serial No.: 09/704,641	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
Filed: November 1, 2000	)	<b><u>DISCLOSURE STATEMENT</u></b>
For: <b>METHOD AND APPARATUS FOR</b>	)	162 N. Wolfe Road
<b>SUPERCRITICAL PROCESSING</b>	)	Sunnyvale, CA 94086
<b>OF A WORKPIECE</b>	)	(408) 530-9700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,672,204;
- U.S. Patent No. 5,817,178;
- U.S. Patent No. 6,508,259 B1; and
- Japanese Patent Abstract JP 61-017151.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12-10-03

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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- 2 -

HAVERSTOCK & OWENS LLP  
Date: 12-11-03 By: [Signature]



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Maximilian A. Biberger et al.	)	Examiner: Ram N. Kackar
Serial No.: 09/704,641	)	<b><u>CERTIFICATION FOR</u></b>
Filed: November 1, 2000	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>METHOD AND APPARATUS FOR</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>SUPERCritical PROCESSING</b>	)	<b><u>CFR § 1.97(e)</u></b>
<b>OF A WORKPIECE</b>	)	162 North Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700
<hr/>		
Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within the Official Actions mailed on October 31, 2003 and August 26, 2003. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12-10-03

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

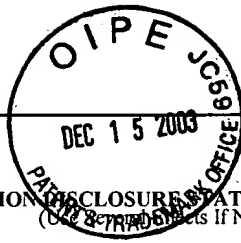
Attorneys for Applicants

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HAVERSTOCK & OWENS LLP  
Date: 12-11-03 By: [Signature]



FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-00700	Serial No.: 09/704,641
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (See 37 CFR 1.98(b) for Instructions)				Applicants: Maximilian A. Biberger et al.	
(37 CFR § 1.98(b))				Filing Date: November 1, 2000	Group Art Unit: 1763

U.S. PATENT DOCUMENTS							
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
	AA	5,672,204	Habuka	117	204	04/25/96	
	AB	5,817,178	Mita et al.	118	666	05/29/96	
	AC	6,508,259 B1	Tseronis et al.	134	105	08/04/00	
	AD						
	AE						
	AF						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
Examiner Initials	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation		
						Yes	No	
	AG	61-017151	Japan	G03G	5/082	X		
	AH							
	AI							
	AJ							
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)		
Examiner Initials	Document Number	Description
	AS	
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	

Examiner:	Date Considered:
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EXAMINER:	Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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